



INVESTOR360°®

USER GUIDE

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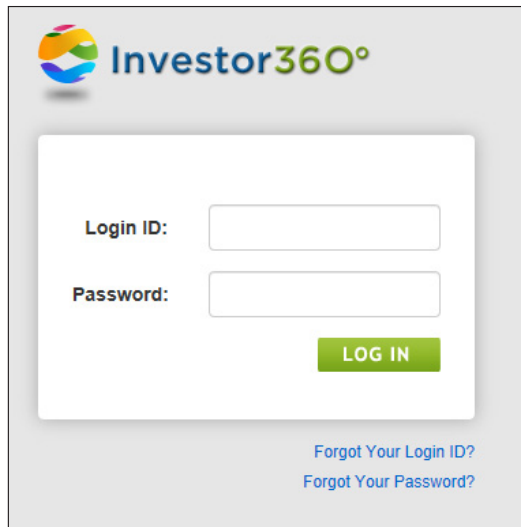
LOGGING IN TO INVESTOR360[®]

First-time user

Investor360[®] gives you the power to access your investment information from one convenient location. Prior to logging into Investor360[®], obtain your login ID and “one-time” password from your advisor.

Please note: Should you have any difficulties with your account, please contact your advisor for help.

1. Go to www.investor360.net, enter your login ID and temporary password, and click **Log In**.



2. The user agreement displays. Read through the agreement and click **I Agree**.
3. The first time you log in, you will automatically be brought to the Settings window to complete the following profile information:

Email	Enter your e-mail address. This e-mail is used to alert you of any errors or issues with your account.
Confirm Email	Confirm your e-mail address.
Password	Create a new password. Passwords must be eight characters long and contain at least one number.
Confirm Password	Confirm the password.
Secret Question 1	Select a security question from the dropdown menu. If you ever forget your password, we'll use these questions to identify who you are and help you create a new password. For instructions on how to reset your password, please see the Resetting your password section.
Answer 1	Enter the answer to Security Question 1. Please note: Answers are <i>not</i> case sensitive.
Secret Question 2	Select a security question from the dropdown menu.

Answer 2

Enter the answer to Security Question 2.

Paperless Preferences

You may sign up to go paperless and receive electronic versions of statements and other account documents. Once you go paperless, you will stop receiving these documents in the mail and will receive an e-mail notifying you that they are available within Investor360°.

Please note: All information is required.

The screenshot shows the 'Settings' window for an Investor360° account. It is divided into three main sections:

- Personal Information:** Includes fields for First Name (John), Last Name (Smith), Email, Confirm Email, Password, and Confirm Password. A red note states: "First-time users should create a new Investor360° password". A green button "UPDATE YOUR SUITABILITY INFORMATION" is in the top right.
- Secret Questions:** Two questions with dropdown menus and corresponding answer fields.
- Paperless Preferences:** Includes an "E-delivery" section with radio buttons for "No E-delivery" (selected) and "E-deliver selected documents and notifications". Below this, it shows the account name "The Living Trust of John & Jane Smith - (HDM1234567)", a "Deliver to:" dropdown, an "E-mail:" field, and checkboxes for "Select All", "Confirms", "Customer Correspondence", "Tax Documents", "Statements", and "Shareholder Reports".

At the bottom right, there are "CANCEL" and "SAVE" buttons.

4. Click **Save**. Your Investor360° account is now created, and the Overview subtab appears. To log in to Investor360° in the future, simply enter the login ID given to you by your advisor and the new password that you created.

You can view and update your account information at any time by going to the Settings window. For more information, see the [Settings](#) section of this document.

Existing user

To log in as an existing user, please follow the steps below:

1. Go to www.investor360.net.
2. Enter your login ID and password.
3. Click **Log In**.

Resetting your password

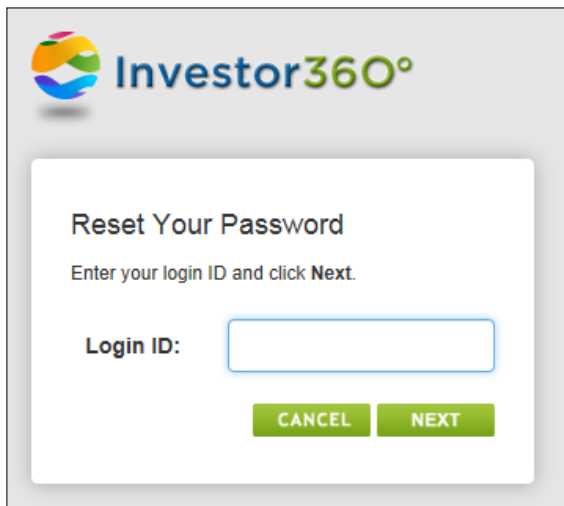
If you forget your password, you can easily reset it by following these instructions:

1. Go to www.investor360.net and click the **Forgot Your Password?** link.



The image shows the Investor360 login page. At the top left is the Investor360 logo. Below it is a white login box with two input fields: "Login ID:" and "Password:". A green "LOG IN" button is positioned below the password field. At the bottom right of the login box, there are two blue links: "Forgot Your Login ID?" and "Forgot Your Password?". The "Forgot Your Password?" link is highlighted with a red rectangular border.

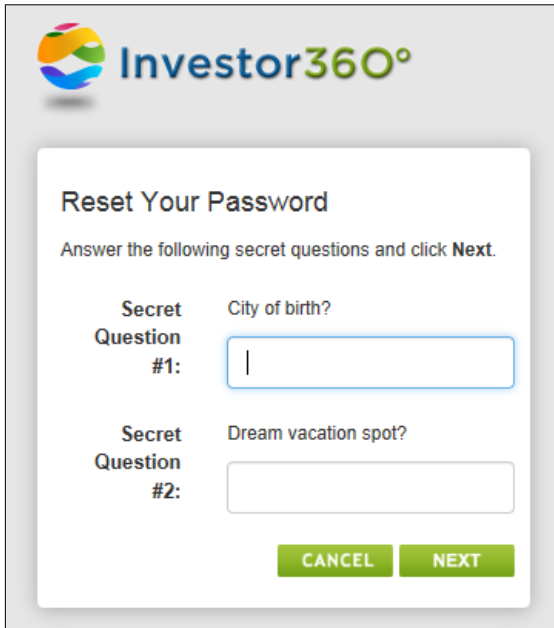
2. Enter your Login ID and click **Next**.



The image shows the Investor360 password reset page. At the top left is the Investor360 logo. Below it is a white box titled "Reset Your Password". Under the title, it says "Enter your login ID and click Next." There is a single input field labeled "Login ID:". Below the input field are two green buttons: "CANCEL" and "NEXT".

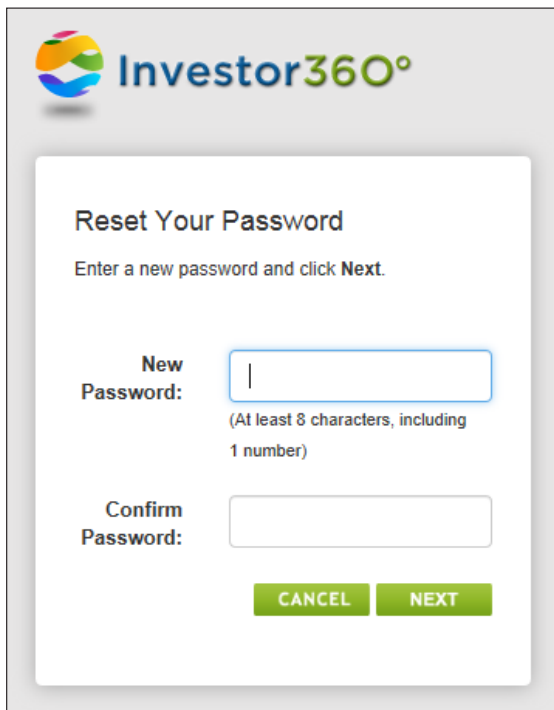
3. Answer your security questions and click **Next**. Remember that answers are *not* case sensitive.

Please note: If you can't remember the answers to your security questions, contact your advisor for help with setting up a new "one-time" password. Follow the steps in the [First-time user](#) section to log in with the new temporary password.



The screenshot shows the Investor360° logo at the top left. Below it, the title "Reset Your Password" is displayed. Underneath the title, the instruction "Answer the following secret questions and click **Next**." is shown. There are two secret questions, each with a text input field. The first question is "City of birth?" with a text input field labeled "Secret Question #1:". The second question is "Dream vacation spot?" with a text input field labeled "Secret Question #2:". At the bottom of the form, there are two green buttons: "CANCEL" and "NEXT".

4. Create a new password and click **Next**. Remember that passwords must be eight characters long and contain at least one number.



The screenshot shows the Investor360° logo at the top left. Below it, the title "Reset Your Password" is displayed. Underneath the title, the instruction "Enter a new password and click **Next**." is shown. There are two password fields. The first is labeled "New Password:" and has a text input field. Below this field, the text "(At least 8 characters, including 1 number)" is displayed. The second field is labeled "Confirm Password:" and has a text input field. At the bottom of the form, there are two green buttons: "CANCEL" and "NEXT".

5. Your password is now reset and the Overview subtab appears. To log in to Investor360° in the future, simply enter the login ID given to you by your advisor and the new password that you created.

PORTFOLIO TAB

The Portfolio tab gives you access to your account balances and activity, holdings, additional assets, and more.

Overview

The Overview subtab provides high-level portfolio balance, historical, and allocation information about the accounts or groups selected.

This section is organized into four main categories:

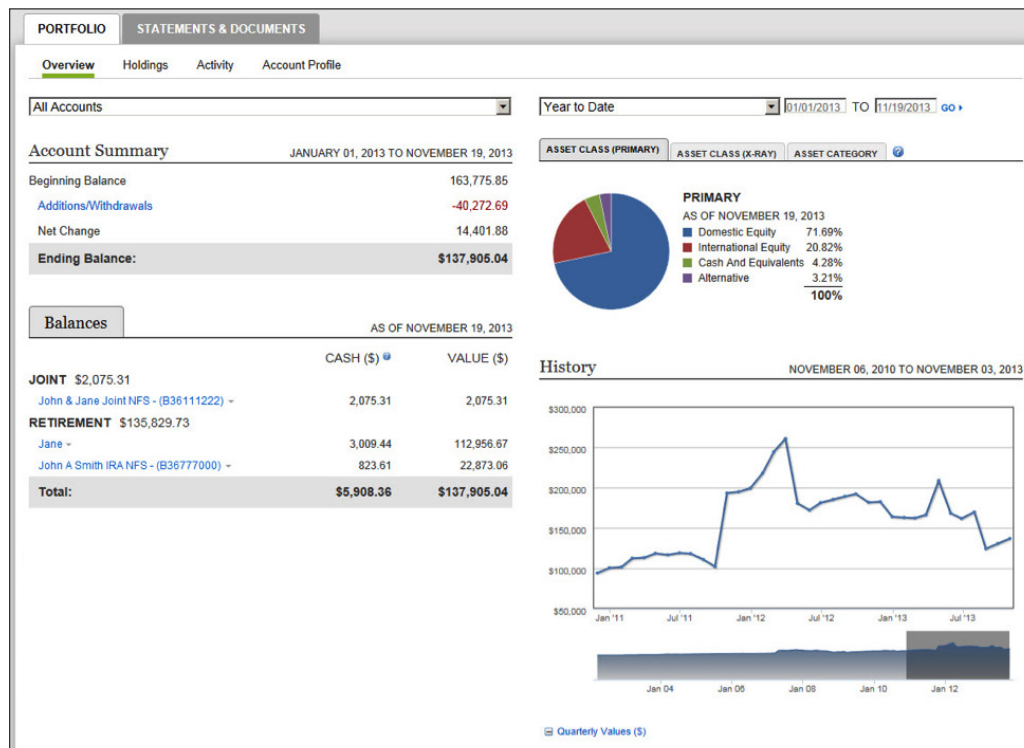
- Account Summary
- Balances
- Asset Allocation
- History

Changing your data view

You have the ability to change the way your data is viewed on the tabs.

1. Use the All Accounts dropdown menu to select which account data to view on the tabs. You can select all accounts, a specific group of accounts, or a single account. When selecting a group of accounts, you'll see predefined groups (e.g., retirement accounts).
2. Control the date range for all information displayed across tabs. Simply click the **Year to Date** dropdown menu and select a date range option. When using a custom date range, enter a *from* and/or *to* date.

The Overview subtab displays the date range used for each section. As you update the Year to Date dropdown menu, these ranges update based on your selection.



Account Summary

This section shows the beginning account/group balance as of January 1 of the current year, as well as additions and withdrawals, net change, and ending balance.

Clicking the **Additions/Withdrawals** link in this section will bring you to the Activity page, where you can view the year-to-date additions to and/or withdrawals from the portfolio.

Balances

The Balances section shows cash and value for each account within the group. Hover over the down arrow to view account-specific holdings and activity.

Cash (\$)

- **For brokerage accounts:** The amount reflects only the amount in the designated core security. (The core security, usually a money market, is designated during the account opening process.)
- **For nonbrokerage accounts:** The amount reflects balances held in money market mutual funds, variable annuity money market subaccounts, or as a pending cash balance.

Cash can include:

- Money market mutual funds
- Variable annuity money market subaccounts
- Commonwealth's Bank Deposit Sweep Program
- Pending cash balances

Value (\$) (as of previous business day's close)

- Displays total market value of the account (net of liabilities)
- Includes cash and accrued interest associated with fixed income securities

Asset Allocation

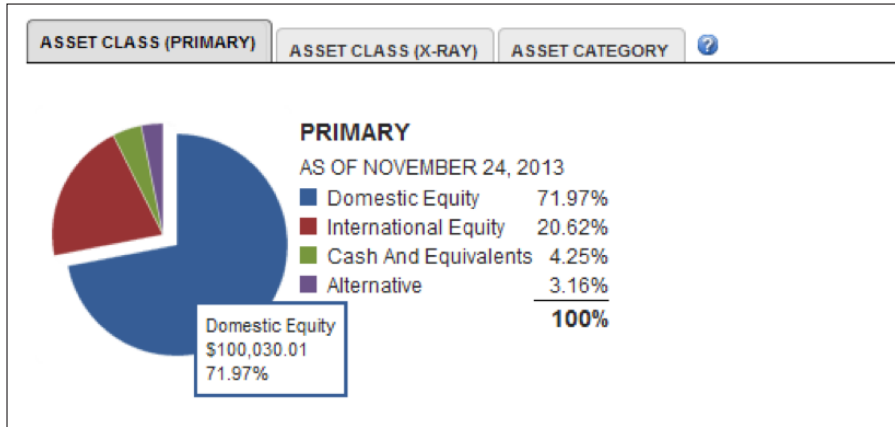
There are three tabs within this section:

- Asset Class (Primary)
- Asset Class (X-Ray)
- Asset Category

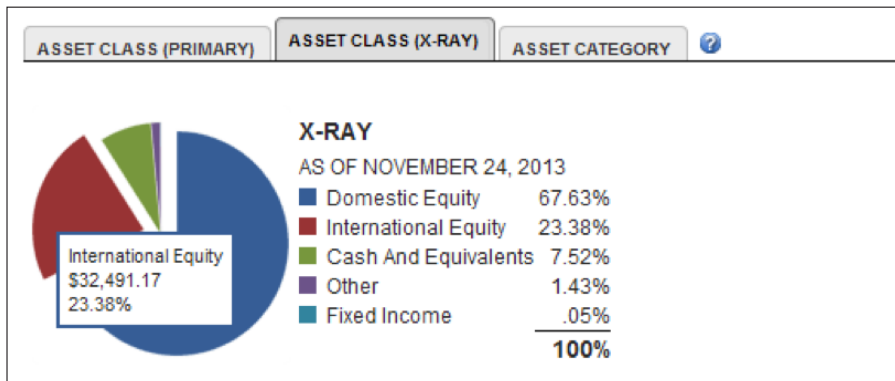
Each tab displays a pie chart of asset information; simply click the tab to view a particular chart. To view additional information, click the blue **information** icon.

Asset Class. These pie charts display portfolio allocations among various asset classes. The percentage of each asset class is displayed next to the pie chart. Hover over each section of the chart to see the value and percentage of the asset class.

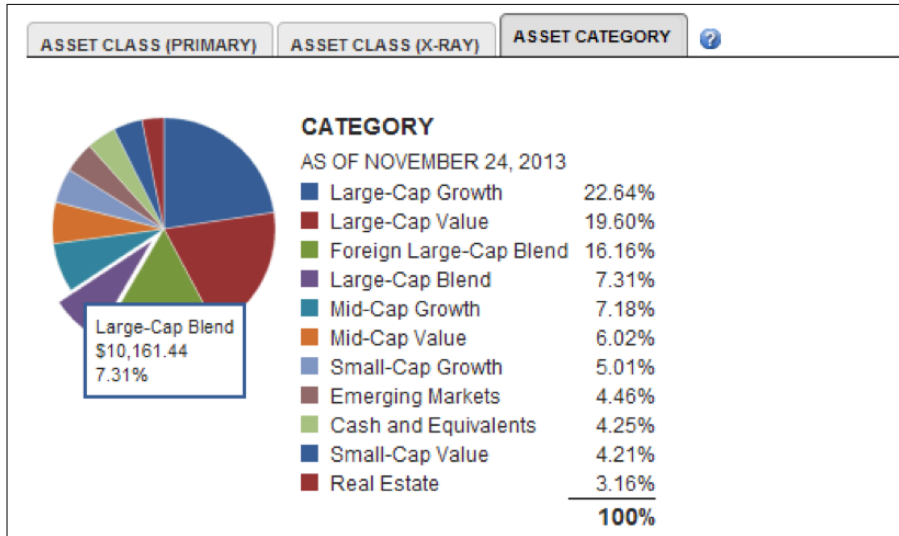
The **Asset Class (Primary)** chart looks at the underlying holdings of the portfolio and displays a percentage (%) for each major asset class represented within the overall portfolio.



The **Asset Class (X-Ray)** chart reflects the Morningstar® breakout of underlying holdings within mutual funds, exchange-traded funds, and variable annuities.

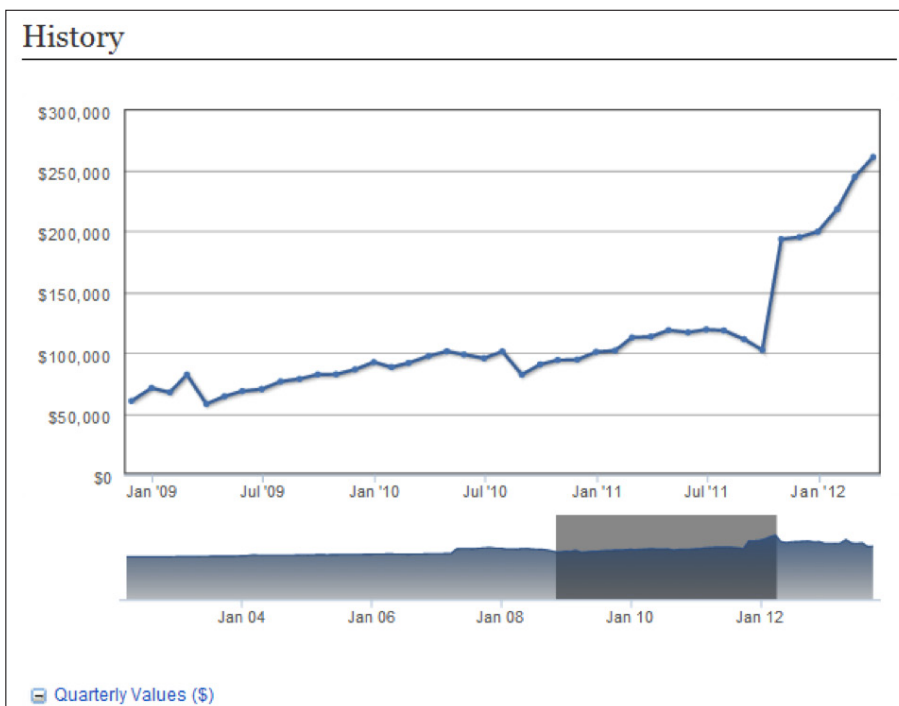


Asset Category. This pie chart shows how the portfolio is allocated among different categories of investments (leveraging Morningstar categories). The percentage of each asset category is displayed next to the chart. Hover over each section of the pie chart to see the value and percentage of the asset category.



History

This graph shows the historical value of the portfolio. The default view is the past 36 months; however, you have the ability to customize date ranges and go back to inception. The view only changes if a different date range is selected on the top of the page or if a specific section of the lower chart is highlighted.



To view specific values for each quarter, expand the Quarterly Values section.

Quarterly Values (\$)				
	Mar 31	Jun 30	Sep 30	Dec 31
2013	166,261.75	161,636.62	130,662.89	
2012	260,495.98	181,263.55	191,982.38	163,775.85
2011	113,124.63	118,972.57	102,083.77	199,163.50
2010				100,568.56

Holdings

The Holdings subtab provides information (as of close of business for the previous day) about the investments held by the account or group selected. You can customize this tab to view information in the way that is most helpful to you.

Changing your data view

You have the ability to change the way your data is viewed on the tabs.

1. Use the All Accounts dropdown menu to select which account data to view on the tabs. You can select all accounts, a specific group of accounts, or a single account. When selecting a group of accounts, you'll see predefined groups (e.g., retirement accounts).
2. Control the date range for all information displayed across tabs. Simply click the **Year to Date** dropdown menu and select a date range option. When using a custom date range, enter a *from* and/or *to* date.

The Overview subtab displays the date range used for each section. As you update the Year to Date dropdown menu, these ranges update based on your selection.

3. Click a column heading to sort the data in that category in ascending or descending order. If the triangle points downward, the account data has been sorted in descending order; if it points upward, the data has been sorted in ascending order
4. Reorder the columns by clicking on a column and, with your finger on the mouse, dragging it to a desired location. Double arrows appear indicating where the column will drop once you let go of the mouse.

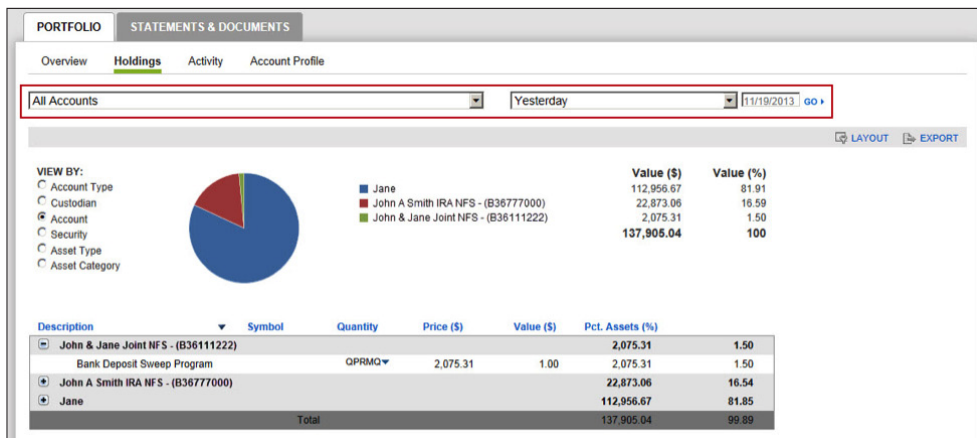
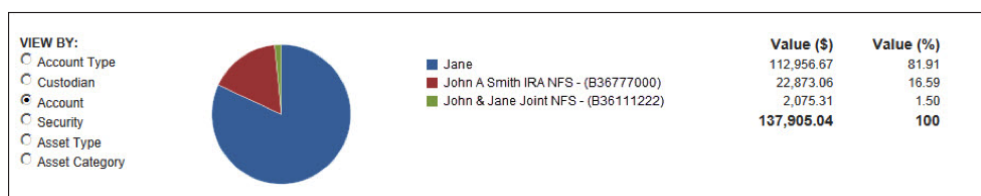


Chart section

The Chart section displays graphical information about holdings for the selected account/group. Within this section, you can group investments in various ways by selecting a View By option. This choice will be reflected in both the pie chart and in the Holdings section below the chart.

For example: If you choose the Asset Category option, the investments will be grouped by their asset categories and displayed according to the categories in the pie chart and the Holdings section.



Other View By options:

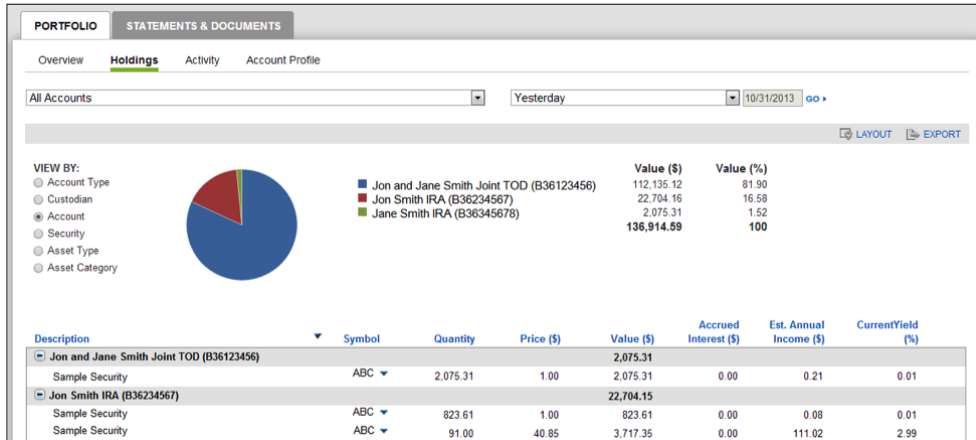
Account Type	Type of account (e.g., individual, joint, traditional IRA, Roth IRA)
Custodian	Custodian of the account (e.g., NFS)
Account	Each account listed separately (Holdings are grouped by account.)
Security	Each individual security within the selected account group
Asset Type	Type of holding within the account (e.g., cash, mutual fund, ETF, fixed income, variable annuity)
Asset Category	Category that most appropriately describes the securities within the selected account group (e.g., Large Growth, Intermediate Government, Mid-Cap Value); categories based on Morningstar classifications

Please note: Selecting different views does not filter the holdings within the account group. It displays information on your portfolio based upon the option chosen in the View By field.

The pie chart shows the percent allocation of the holdings for the account(s) or group selected and displays results based on the choice made in the View By list. Hover over each section of the pie chart to see the dollar amount for each allocation and its percentage of the total holdings.

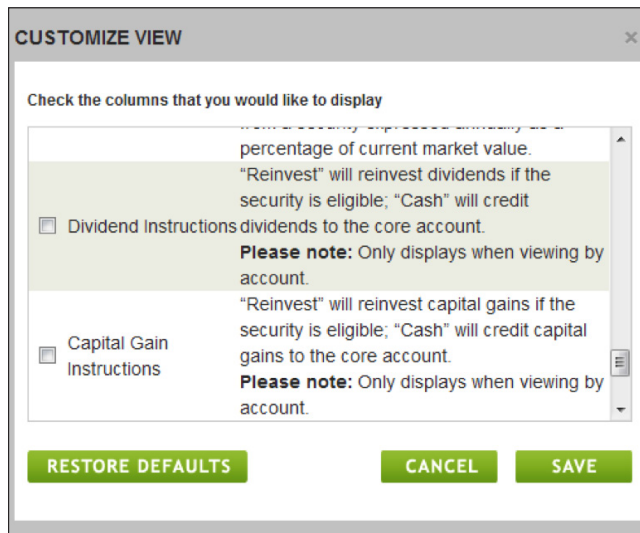
Holdings section

The Holdings section below the chart displays all position-level investments based on the View By option selected. You can customize the columns in the Holdings section by adding or hiding columns. Your customized view will remain in effect until you choose to change it.



To customize the Holdings view:

1. Click the **Layout view** link on the gray bar. The Customize view pop-up appears.



2. Check the box next to the column name to select or unselect that column. (**Please note:** Gray-colored fields are required and cannot be edited, and columns cannot be reordered.)

Description	Description of the security
Symbol	Trading ticker assigned by the respective exchange or CUSIP for fixed income instruments
Value	Value of the security as of the previous day's close
Quantity	Quantity held using trade date calculations
Price	Prior-day closing price or the previous last known price of the security
Pct. of Assets (%)	Percent of holdings/group value for account(s) viewed

Position Type	<p>Indicates where each security within an account is held or where each transaction within an account transpired; provides information relating to balances, trading, and operational constraints to which the position is subject in a brokerage account; types include:</p> <ul style="list-style-type: none"> • DVP/RVP • Cash • Margin • Short • Legal • Restricted securities • Precious metals • Called bonds (partially called bonds) • When issued securities • Div (dividend interest/disbursement)
Value Excl. Accrued Int (\$)	Value of the security as of the previous day's close, excluding accrued interest
Accrued Interest (\$)	Interest earned on security since last payment date
Previous Value	Market value as of two days ago, based on current quantity owned and prior known prices
Previous Value Excl. Accrued (\$)	Value of the security as of the previous day's close, excluding accrued interest
Prev. Value Excl. Accrued Int (\$)	Market value as of two days ago, based on current quantity owned and prior known prices, excluding accrued interest
1-Day Price Change (%)	Percentage change between yesterday's price and the prior day's price
Est. Unit Tax Cost (\$)	Total estimated tax cost of the security divided by the number of units
Unit Principal Cost	Total principal cost of the security divided by the number of shares
Asset Type	Type of asset held, such as cash, equity, fixed income, and so on
Asset Category	Category that most appropriately describes the security, such as Large Growth, Intermediate Government, Mid-Cap Value, and so on (Categories are based on Morningstar classifications.)
Est. Annual Income	Projected annual income for security based on actual dividend rate of that security over previous 12 months
Current Yield	Income return on an investment; refers to interest or dividends received from a security expressed annually as percentage of current market value

Dividend Instructions	“Reinvest” will reinvest dividends if security is eligible; “Cash” will credit dividends to core account (Please note: This only displays when viewing by account.)
Capital Gain Instructions	“Reinvest” will reinvest capital gains if security is eligible; “Cash” will credit capital gains to core account (Please note: This only displays when viewing by account.)
Initial Purchase Date	Date a security was first purchased, including original cost if the security transferred into the account

3. Click the **Save** button.

Please note: Click the **Restore Defaults** button to return to the original view.

Symbol column dropdown menu

In the Holdings section, click the blue down arrow next to the symbol to access a dropdown menu that displays the following list of options:

Quote	Provides current price information for that security
Chart	Displays a chart of the market price of the security over time

Exporting Holdings data

To export the data on the Activity page to Excel, click the **Export** icon located in the chart header. A download pop-up will appear on your screen, asking whether you would like to open or save the file.

Activity

The Activity subtab lists all transactions made over a specified period of time.

Changing your data view

You have the ability to change the way your data is viewed on the tabs.

1. Use the All Accounts dropdown menu to select which account data to view on the tabs. You can select all accounts, a specific group of accounts, or a single account. When selecting a group of accounts, you'll see predefined groups (e.g., retirement accounts).
2. Control the date range for all information displayed across tabs. Simply click the **Year to Date** dropdown menu and select a date range option. When using a custom date range, enter a *from* and/or *to* date.

The Overview subtab displays the date range used for each section. As you update the Year to Date dropdown menu, these ranges update based on your selection.

Date	Account	Activity Type	Description	Quantity	Price (\$)	Amount (\$)
10/01/2013	B36123456	Reinvestment	Sample Security	1.15	21.26	-24.39
10/01/2013	B36234567	Dividend Received	Sample Security	0.00	0.00	24.39
10/03/2013	B36123456	Sell	Sample Security	-333.21	1.00	333.21
10/30/2013	B36345678	Contribution to Asset	Sample Security	1,514.76	1.00	1,514.76
10/30/2013	B36123456	Buy	Sample Security	1,514.76	1.00	-1,514.76
10/31/2013	B36234567	Reinvestment	Sample Security	0.02	1.00	-0.02
10/31/2013	B36123456	Interest Income	Sample Security	0.00	0.00	0.02

Activity list

The Activity list displays all account activity based on the filter selected.

- Click a column heading to sort the data in that category in ascending or descending order. If the triangle points downward, the account data has been sorted in descending order; if it points upward, the data has been sorted in ascending order
- Reorder the columns by clicking on a column and, with your finger on the mouse, dragging it to a desired location. Double arrows appear indicating where the column will drop once you let go of the mouse.

Activity list columns

Date	Date that the activity occurred, such as date of a stock purchase
Account	Account number for which the activity occurred
Activity Type	Type of transaction, such as Buy, Dividend, and Dividend Reinvestment
Description	Name of the security involved in the activity
Quantity	Quantity in units (e.g., number of shares bought)
Price	Price per unit at the time of the transaction
Amount	Dollar amount of activity at the time of the transaction

Activity Filter

You can view the data by date range, activity type, and price:

Activity Type	Select the desired activity type by clicking the box to the left of the activity.
Symbol	Enter the ticker symbol or the CUSIP to view activities related to a specific security. Leave this field blank to see all securities.
Price	Enter starting and ending price values to view activities related to a specific price range.

Complete each field and then click **GO**. The activities that match the specific option will appear.

Export

To export the data on the Activity list to Excel, click the **Export** icon located in the chart header. A download pop-up will appear on your screen, asking whether you would like to open or save the file.

Account Profile

The Account Profile subtab displays all accounts within a household. Clicking on a specific account displays the information associated with that account.

Changing your data view

You have the ability to change the way your data is viewed on the tabs.

1. Use the All Accounts dropdown menu to select which account data to view on the tabs. You can select all accounts, a specific group of accounts, or a single account. When selecting a group of accounts, you'll see predefined groups (e.g., retirement accounts).
2. Control the date range for all information displayed across tabs. Simply click the **Year to Date** dropdown menu and select a date range option. When using a custom date range, enter a *from* and/or *to* date.

The Overview subtab displays the date range used for each section. As you update the Year to Date dropdown menu, these ranges update based on your selection.

PORTFOLIO		STATEMENTS & DOCUMENTS	
Overview	Holdings	Activity	Account Profile
B36123456 - Jon Smith IRA NFS			
Account Summary			
Account Number:	B36123456	Contract Date:	08/03/2006
Account Reg:	NF/SIFMTC IRA FBO JON SMITH 1 MAIN ST. WALTHAM MA 02453	Open Date:	06/13/2006
Account Name:	JON SMITH IRA (B36123456)	Close Date:	
Reg Type:	IRA	Managed Account:	Yes
Sponsor:	NFS	Fee Authorization:	
Advisor Id:	001 (Joe Advisor)	Initial Funding:	\$0.00
Executing RIA:	COMMONWEALTH	Business Line:	PPS Custom
Status Code:	Open	PPS Strategy:	
Gov Entity:	No	Advisory Investment Objective:	Primarily Equity
Client Information			
GENERAL INFORMATION		ACCOUNT PAPERWORK	
Number:	B36123456	Application:	ON FILE
Reg Type:	IRA	Margin Agreement:	Not on file
Tax ID:	xxx-xx-1234	Option Status:	Not on file
ID Type:	SSN	Option Level:	Not provided
Short Name:	SMITH	Standing Distribution:	Retirement Standing Distribution on File - Level 2
Established:	06/13/2006	Proceeds	
Updated:	05/21/2013	Instructions:	HOLD PROCEEDS
Last Rep Date:	N/A	Securities	
Advisor ID:	001	Instructions:	HOLD IN STREET NAME
Restrictions:	N/A	Dividend Instructions:	PAY ALL TO CASH AS PROCEEDS
BENEFICIARY INFORMATION		NAMES ON THIS ACCOUNT	
Name:	Alex Smith	Jon Smith (Primary)	
Designation:	PRIMARY	SSN:	xxx-xx-1234
Percentage:	25	Birth Date:	01/06/1932 [81]
Date of Birth:	03/09/1956 [57]	Affiliated:	No
SSN:	xx-xxx-1234	Mailing Address:	1 Main Street Waltham, MA 02453
Relationship:	Non-Spouse	ACCOUNT ADDRESS INFORMATION	
		Mailing Address:	1 Main Street Waltham, MA 02453
		Legal Address:	1 Main Street Waltham, MA 02453

STATEMENTS & DOCUMENTS

The Statements & Documents tab gives you access to all of your statements, including consolidated and quarterly statements, as well as documents shared with you by your advisor. Additionally, you can view whether you elected to receive these materials via mail or online (i.e., paperless).

Statements & Confirms

This tab is organized in six sections:

- Consolidated Statement
- Brokerage Statements
- Quarterly Statements
- Tax Documents
- Confirms
- Customer Correspondence

PORTFOLIO STATEMENTS & DOCUMENTS

Statements & Confirms Documents

Consolidated Statement

AS OF: Yesterday

☒ Brokerage Statements [VIEW PAPERLESS](#)

John Smith IRA NFS - (0000000000)	Most Recent	06/30/2014	05/31/2014	04/30/2014	03/31/2014	02/28/2014	More
Jane Smith IRA NFS - (0000000000)	Most Recent	06/30/2005	05/31/2005	04/30/2005	03/30/2005	02/28/2005	More
John & Jane Smith Joint NFS - (0000000000)	Most Recent	06/30/2005	05/31/2005	04/30/2005	03/30/2005	02/28/2005	More
Jane & John Smith Joint NFS - (0000000000)	Most Recent	10/31/2013	01/31/2012	12/31/2011	09/30/2011	06/30/2011	More
Statement Inserts		06/30/2014	06/30/2014	09/30/2013	06/30/2013	09/30/2012	More

Please note: Brokerage statements are generally posted within 5 business days of month-end.

☒ Tax Reporting

☒ Customer Correspondence

☒ Confirms [VIEW PAPERLESS](#)

Consolidated Statement

This section provides access to a consolidated statement that includes accounts, balances, and positions held for a specified period of time.

Statements can be created based on the following time frames:

- | | |
|-------------------|--|
| Yesterday | View statement as of yesterday's date. |
| Prior Month-End | View statement as of the end of the prior month. |
| Prior Quarter-End | View statement as of the end of the prior quarter. |
| Prior Year-End | View statement as of the end of the prior year. |

The statement displays the following household information:

- Activity Summary
- Asset Category Allocation
- Accounts and Holdings

Brokerage Statements

This section displays a list of statements for each account. Statements are posted within five days of month-end and are listed according to date, with the most current date first. Click on a month-end date to view the statement for that given month.

Please note: Only the first six months are listed. To view older statements, click the **More...** link.

Regulatory bodies may require NFS and/or Commonwealth to provide disclosure information to you. These disclosures are often communicated through a brokerage **statement insert**, such as Order Flow Practices and NFS Privacy Policy.

Such inserts are listed according to date within the Brokerage Statements section. Click on a date to view the insert.

Quarterly Statements

This section displays a list of quarterly statements for each account. Statements are posted within five days of quarter-end and are listed according to date, with the most current date first. Click on a quarter-end date to view the statement for that given quarter.

To receive e-mail notifications when a new statement is uploaded, check the box next to **E-mail me when statements arrive**. The E-mail Notification modal pop-up will be displayed when the check box is selected.

Please note: The E-mail me when statements arrive check box will only be available for Investor360° IDs that have PPS statements enabled by your advisor.

Tax Documents

This section displays a list of tax documents sent to you by a fund company (e.g., NFS). Click on the link to view that particular tax document. **Please note:** Tax documents are for informational use only and do not replace the official tax documents mailed to you.

Confirms

This section displays investor trade confirmations by trade date, account number, and registration. You can filter confirms by date and sort any of the displayed columns.

Please note: Only confirms provided by NFS are listed on this page.

To view confirms:

1. Enter a date range and click **GO**.



The screenshot shows a web interface for viewing confirmations. At the top, there is a header "Confirms" with a "VIEW PAPERLESS" link. Below the header, there is a "Date Range:" label followed by two input fields containing the dates "10/25/2013" and "11/25/2013", and a "GO" button. Below the input fields, there is a message: "No confirmations to display for this range."

2. Click any column heading (Trade Date, Account #, Registration) to sort by that heading.
3. Click any specific trade date to view the image of the confirmation for that date.

Customer Correspondence

This section displays NFS account-related documents, such as welcome letters, corporate action notices, and copies of notifications of changes to your accounts.

Setting your paperless preferences

You may sign up to go paperless and receive electronic versions of statements and other NFS documents. Once you go paperless, you will stop receiving these documents in the mail and will receive an e-mail notifying you that they are available within Investor360°.

1. Click the **View Paperless** link.
2. The Settings window appears.
3. Verify your paperless status.

Please note: The E-mail me when statements arrive check box will only be available for Investor360° IDs that have PPS statements enabled by your advisor.

PORTFOLIO STATEMENTS & DOCUMENTS

Statements & Confirms Documents

Consolidated Statement

AS OF: Yesterday

Account Name	Status	06/30/2014	05/31/2014	04/30/2014	03/31/2014	02/28/2014	More
John Smith IRA NFS - (0000000000)	Most Recent	06/30/2014	05/31/2014	04/30/2014	03/31/2014	02/28/2014	More
Jane Smith IRA NFS - (0000000000)	Most Recent	06/30/2005	05/31/2005	04/30/2005	03/30/2005	02/28/2005	More
John & Jane Smith Joint NFS - (0000000000)	Most Recent	06/30/2005	05/31/2005	04/30/2005	03/30/2005	02/28/2005	More
Jane & John Smith Joint NFS - (0000000000)	Most Recent	10/31/2013	01/31/2012	12/31/2011	09/30/2011	06/30/2011	More
Statement Inserts		06/30/2014	09/30/2013	06/30/2013	06/30/2013	09/30/2012	More

Please note: Brokerage statements are generally posted within 5 business days of month-end.

Tax Reporting

Customer Correspondence

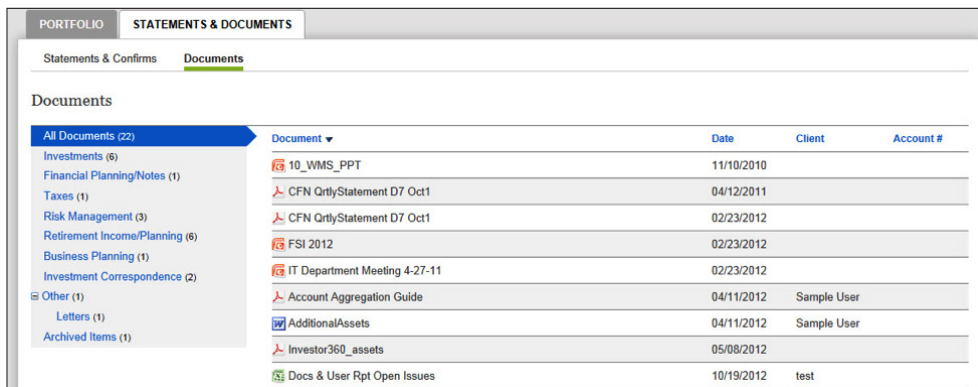
Confirms

Documents

The Documents subtab allows you to view documents associated with your accounts. Documents within this tab have been shared with you by your advisor. You only have the option to view and print the documents. All documents are organized into predefined folder categories. To view documents within a specific folder, click the name of the folder.

Please note: The default view for the Documents subtab is All Documents.

- To view a document, click on the **file** icon (i.e., PDF icon).
- To print, open the document and click the **Print** icon.



The screenshot shows the 'STATEMENTS & DOCUMENTS' subtab with the 'Documents' subtab selected. A left-hand navigation pane lists various document categories like 'All Documents (22)', 'Investments (6)', 'Financial Planning/Notes (1)', etc. The main area displays a table of documents with the following columns: Document, Date, Client, and Account #.

Document	Date	Client	Account #
10_WMS_PPT	11/10/2010		
CFN QrtlyStatement D7 Oct1	04/12/2011		
CFN QrtlyStatement D7 Oct1	02/23/2012		
FSI 2012	02/23/2012		
IT Department Meeting 4-27-11	02/23/2012		
Account Aggregation Guide	04/11/2012	Sample User	
AdditionalAssets	04/11/2012	Sample User	
Investor360_assets	05/08/2012		
Docs & User Rpt Open Issues	10/19/2012	test	

You can sort the list by clicking the column headings.

- Click a column heading to sort the data in that category in ascending or descending order. If the triangle points downward, the account data has been sorted in descending order; if it points upward, the data has been sorted in ascending order
- Reorder the columns by clicking on a column and, with your finger on the mouse, dragging it to a desired location. Double arrows appear indicating where the column will drop once you let go of the mouse.

Document subtab columns

Document	Displays the document name
Date	Displays the date your advisor shared the document
Client	Displays the client associated with the document
Account #	Displays the account number associated with the document

SETTINGS

The Settings window allows you to access and maintain your personal preferences and account settings, as well as customize paperless options.

Settings ✕

Personal Information UPDATE YOUR SUITABILITY INFORMATION

First Name:

Last Name:

Email: [?](#)

Confirm Email:

First-time users should create a new Investor360 password

Password: [?](#)

Confirm Password:

Secret Questions

Secret Question 1: [▼](#)

Answer 1:

Secret Question 2: [▼](#)

Answer 2:

Paperless Preferences

E-delivery: No E-delivery
 E-deliver selected documents and notifications

The Living Trust of John & Jane Smith - (HDM1234567)

Deliver to: [▼](#)

E-mail:

Select All

Confirms Statements

Customer Correspondence [?](#) Shareholder Reports [?](#)

Tax Documents

CANCEL SAVE

Updating your personal information and security questions

The Personal Information and Secret Questions sections allow you to update your personal information, including your e-mail address and password, as well as security questions/answers.

Please note: All fields are required and must be completed.

When finished updating information, click **Save**.

Customizing your paperless preferences

The Paperless Preferences section allows you to sign up to go paperless and receive electronic versions of NFS account documents. Once you go paperless, you will stop receiving these documents in the mail and will receive an e-mail notifying you when they are available for viewing within Investor360:

1. Click the **E-deliver account documents and notifications** radio button.
2. Enter the *Deliver to* recipient e-mail address and name.
3. Select your paperless option. To select all options, click the **Select All** check box.
4. Click **Save**.

To receive a paper statement, click the **No E-delivery** radio button.

APPENDIX

A. TurboTax®

Clients that use Investor360° and TurboTax software can import tax information electronically for all NFS accounts.

For more information, please contact your advisor:

B. Asset Class (Primary)

Asset Class (Primary), as seen on the Overview subtab and certain reports, is derived from the Asset Category. The chart below shows each primary asset class and what each asset category translates to. Please also note the exceptions below.*

Asset Class (Primary)	Asset Category	
Domestic Equity	Communications Consumer Cyclical Consumer Defensive Consumer Staples Energy Financial Health Industrials Large-Cap Blend Large-Cap Growth	Large-Cap Value Mid-Cap Blend Mid-Cap Growth Mid-Cap Value Sector Fund Small-Cap Blend Small-Cap Growth Small-Cap Value Technology Utilities
International Equity	Emerging Markets Europe Foreign Large-Cap Blend Foreign Large-Cap Growth Foreign Large-Cap Value Foreign Small/Mid Blend Foreign Small/Mid Growth	Foreign Small/Mid Value India Japan Latin America Pacific/Asia Pacific/Asia ex-Japan World Stock
Balanced	Aggressive Allocation Conservative Allocation Moderate Allocation	Retirement Income Target Date World Allocation
Alternative	Arbitrage Bear Market Commodities Currency Direct Property Global Real Estate Hedge Funds Long/Short Managed Futures	Market Neutral Multi-Alternative Natural Resources Nontraditional Bond Precious Metals Private Equity Real Estate Trading/Tactical
Domestic Bond	Bank Loan High-Yield Bond High-Yield Muni Inflation-Protected Bond Intermediate Gov't Bond Intermediate-Term Bond Long-Term Bond Long-Term Gov't Multi-Sector Bond	Muni National Intermediate Muni National Long-Term Muni National Short-Term Muni Single State Short-Term Bond Short-Term Gov't Bond Stable Value Ultrashort Bond
International Bond	Emerging Markets Bond World Bond	
Cash and Equivalents	Cash and Equivalents	

*Exceptions to the above classifications:

- Foreign companies trading on a U.S. exchange will show as International Equity Asset Class (Primary).
- Preferred stocks and convertible stocks will show as Domestic Equity Asset Class (Primary).
- Convertible bonds will show as Domestic Bond Asset Class (Primary).